



Inshore Fisheries and  
Conservation Authority

**Annual Plan**

**2013 – 2014**



*RV Three Counties* positioned for foot surveys in The Wash

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## Foreword

The purpose of this annual plan for the Eastern Inshore Fisheries and Conservation Authority (Eastern IFCA) is to form part of the evidence based marine management cycle, to define the issues that we are facing and to give an overview of the implementation of the interventions we have devised to tackle the issues within the 2013-2014 financial year. The intent underpinning the creation of Eastern IFCA in 2011 was to provide a mechanism by which local people can be engaged in the management of the marine environment within the Authority's district. The Eastern IFCA aim is to establish itself as a subject matter expert, recognised locally, nationally and internationally for the development and implementation of best practice in relation to the local management of the marine environment.

With a full team in place and two years' experience of operating as an IFCA, the priorities this year will be to: enact measures to manage fisheries within European Marine Sites; commence the Byelaw review process; establish a risk based enforcement process and drive innovation in research to establish the essential evidence base to underpin marine management activity.

Funding as the predominant enabler is central to providing a sound foundation for the Authority. The constituent County Councils have agreed-in-principle a budget for the Authority until 2015 conditional on a 25% reduction in the base levy which has been achieved in both the 2013/14 budget and the provisional forecasts for 2015/16. Living within our means whilst delivering across the breadth of IFCA outputs will present challenges but we are committed to seeking the most innovative and cost efficient methods to do our work.

In all we do we will always seek to balance the needs of all stakeholders. Key to this will be continued productive engagement with the local communities and we will continue to actively engage with all stakeholders to ensure that their wishes and aspirations feature in our plans for the district.



A handwritten signature in black ink, appearing to read 'P J Haslam'.

P J Haslam MSc  
Chief Executive Officer

A handwritten signature in black ink, appearing to read 'Ken Sale'.

Councillor Ken Sale  
Chairman

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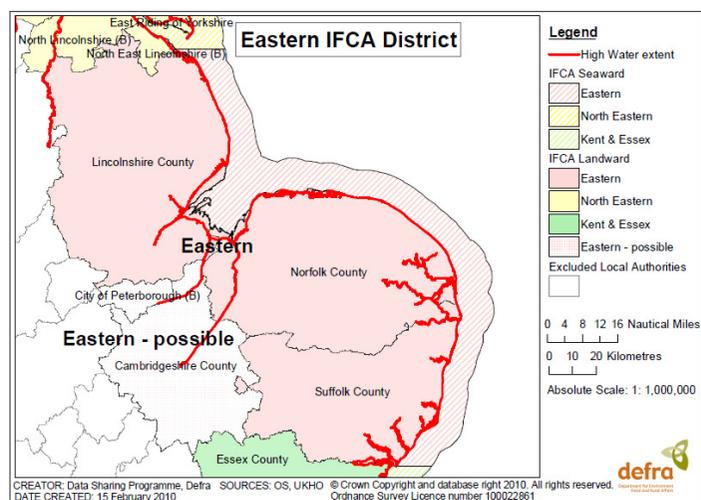
## Overview

The Eastern Inshore Fisheries and Conservation Authority was created under Section 150 of the Marine and Coastal Access Act 2009 (MaCAA) and was fully vested on 1<sup>st</sup> April 2011 in accordance with the Eastern Inshore Fisheries and Conservation Order<sup>1</sup>. There are ten IFCAs within England providing inshore fisheries and conservation management. Within this Act, Section 149 sets out the boundaries of the districts, while Section 177 requires the Authorities to produce an Annual Plan.

The Eastern IFCA district extends seawards six nautical miles from the Haile Sand Fort off the Lincolnshire coast in the north to the river Stour in Suffolk as well as on land in the three counties of Lincolnshire, Norfolk and Suffolk. The district encompasses every existing UK and EU form of Marine Protected Area (MPA) namely, Sites of Special Scientific Interest, Special Protection Areas, Special Areas of Conservation, Ramsar sites, European Marine Sites and Marine Conservation Zones. Eastern IFCA is charged with the responsibility to develop management measures for these sites. In addition the management of the Wash Fishery Order (WFO) 1992 is to be conducted by the Authority. The WFO 1992 was established to provide local management of the mussel, cockle, clams and queens within the Wash estuary embayment (The Wash). The WFO 1992 enables the Eastern IFCA to sever (Several Fishery) the public's right to fish for the prescribed species within The Wash to grant exclusive fishing rights to individuals. Within the Regulated Fishery the WFO 1992 enables Eastern IFCA to develop and enforce management measures and regulations to ensure that stocks of the prescribed species are fished in an appropriate manner. The order is due to expire in 2022.

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<sup>1</sup> Statutory Instrument 2010 No 2189



The commercial fisheries within the Eastern district are important contributors to the local and national economy. In 2012 this was estimated to be divided between the Wash cockle, mussel and shrimp fisheries (£3.1M); the North Norfolk crab and lobster fisheries (£1M); and finfish fisheries mainly in Suffolk and along the north Norfolk coasts focusing on cod, sole, bass and thornback ray (£1M). The total 1<sup>st</sup> sale value in the district for 2012 was £5.8M with approx. 220 active commercially licensed vessels.

The areas within the Eastern IFCA's district are also used for recreational purposes. In particular the Suffolk coast is renowned amongst sea anglers and both Norfolk and Suffolk coasts are popular destinations for bird watchers. The East of England Development Agency (EEDA) Regional Fishing Plan, Final Report (2006) written by Poseidon Aquatic Resource Management Ltd states that the annual aggregate value for sea angling in the East of England Region is around £71 million. This value accounts for shore, charter boat and private anglers over the entire East coast and includes the overall expenditure on equipment and boats.

The Authority has agreed a budget of £1,391,070 for 2013-2014. This figure includes £394,145 in new burden funding from Defra to enable the Authority to meet its new duties as set out within the Marine and Coastal Access Act 2009. Agreement that the new burden money should be passed from the three constituent councils to the Authority for each of the next three years has been reached. The Authority has met the three funding authorities' request of reducing the levy by 25% over the four year period from the 2011-2015 by year three of the current settlement (2013-2014).

The Authority is conscious that financial provision via new burdens funding is scheduled to expire in Year 4 of the current comprehensive spending settlement. Noting that New Burdens funding is central government recognition that policies and/or initiatives which place additional expectations

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and outputs upon local authorities need to be adequately financially underpinned, the Authority has made decisions with regard to delivering mandated outputs based upon the assumption that financial provision currently provided by New Burdens funding will endure post 2015.



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## Vision, Success Criteria and High Level Objectives

The vision for the Authority is:

*"Eastern Inshore Fisheries and Conservation Authority will lead, champion and manage a sustainable marine environment and inshore fisheries, by successfully securing the right balance between social, environmental and economic benefits to ensure healthy seas, sustainable fisheries and a viable industry."*

The main duties for Eastern IFCA set out within the MaCAA 2009 are to:

- 1) manage the exploitation of sea fisheries resources in its district; in doing so it must:
  - a) seek to ensure that the exploitation of sea fisheries resources is carried out in a sustainable way
  - b) seek to balance the social and economic benefits of exploiting the sea fisheries resources of the district with the need to protect the marine environment from, or promote its recovery from, the effects of such exploitation
  - c) take any other steps which in the Authority's opinion are necessary or expedient for the purpose of making a contribution to the achievement of sustainable development
  - d) seek to balance the different needs of persons engaged in the exploitation of sea fisheries resources in the district
- 2) seek to ensure that the conservation objectives of any MCZ in the district are furthered
- 3) seek to ensure that the Wash Fishery Order 1992 is managed by the Authority in a manner that supports the local fishing industry whilst not having a detrimental impact upon the conservation features within a protected site



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Seven Success Criteria and multiple High Level Objectives have been developed for all ten Inshore Fisheries and Conservation Authorities within England. It is incumbent on the Authority to meet these Criteria and Objectives in a manner which it sees fit.

- 1) IFCAs have sound governance and staff are motivated and respected
- 2) Evidence based, appropriate and timely byelaws are used to manage the exploitation of sea fisheries resources within the district
- 3) A fair, effective and proportionate enforcement regime is in place
- 4) IFCAs work in partnership and are engaged with their stakeholders
- 5) IFCAs make the best use of evidence to deliver their objectives
- 6) IFCAs support and promote the sustainable management of the marine environment
- 7) IFCAs are recognised and heard

As a key delivery body in the marine area, the Authority will also be guided by the Government's Marine Policy Statement and adhere to the High Level Marine Objectives:

- achieving a sustainable marine economy
- ensuring a strong, healthy and just society
- living within environmental limits
- promoting good governance
- using sound science responsibly



## The Authority

The district boundary of the Authority reflects its three constituent funding County Councils: Lincolnshire, Norfolk and Suffolk. The Authority consists of a statutory committee which sits at least quarterly in order to receive reports from the Authority's officers and to direct officers to conduct work on its behalf to discharge its duties.

Standing sub-committees have been established to consider specific matters in depth. Sub-committees can make recommendations to the Authority and may have some delegated powers to make decisions on behalf of the Authority. The table below details the membership and delegated powers of the various committees of the Authority.

Elected councillors are appointed by their respective county councils. It should be noted that local government elections scheduled for May 2013 may alter the membership detailed below. MMO Appointees are chosen and appointed by the MMO for the knowledge that they can provide the Authority with to make better informed decisions.

| Name                 | Affiliation        | Sub-Committee            |                     |                         |                        |
|----------------------|--------------------|--------------------------|---------------------|-------------------------|------------------------|
|                      |                    | Planning & communication | Finance & Personnel | Regulatory & Compliance | Marine Protected Areas |
| Cllr T Turner MBE JP | LCC                |                          | Member              |                         | Chair                  |
| Cllr S Williams      | LCC                |                          | Member              | Chair                   |                        |
| Cllr M Chenery       | NCC                |                          | Vice Chair          |                         |                        |
| Cllr B Hannah        | NCC                | Member                   | Member              |                         |                        |
| Cllr H Thompson #    | NCC                | Member                   | Member              | Member                  | Member                 |
| Cllr T Goldson       | SCC                |                          | Chair               | Member                  |                        |
| Cllr K Sale *        | SCC                | Member                   | Member              | Member                  | Member                 |
| Mr J Stipetic        | MMO Representative |                          |                     | Vice Chair              |                        |
| Mr R Handford        | EA Representative  | Member                   |                     |                         | Member                 |
| Mr C Donnelly        | NE Representative  | Member                   |                     | Member                  | Member                 |
| Mr N Lake            | MMO Appointee      | Member                   |                     | Member                  | Member                 |
| Mr C Morgan          | MMO Appointee      |                          |                     | Member                  | Member                 |
| Mr T Pinborough      | MMO Appointee      | Member                   |                     | Member                  | Member                 |
| Mr K Vanstaen        | MMO Appointee      |                          |                     |                         | Member                 |
| Mr S Worrall         | MMO Appointee      | Member                   | Member              |                         |                        |
| Mr R Spray           | MMO Appointee      | Member                   |                     |                         | Vice Chair             |
| Mr S Bagley          | MMO Appointee      | Member                   |                     | Member                  | Member                 |
| Mr P Barham          | MMO Appointee      | Chair                    | Member              | Member                  |                        |
| Dr S Bolt            | MMO Appointee      |                          |                     |                         |                        |
| Mr R Brewster        | MMO Appointee      |                          |                     | Member                  | Member                 |
| Mr P Garnett         | MMO Appointee      |                          |                     |                         | Member                 |

Key: LCC Lincolnshire County Council

NCC Norfolk County Council

SCC Suffolk County Council

MMO Marine Management Organisation

(As at 1 March 2013)

NE Natural England

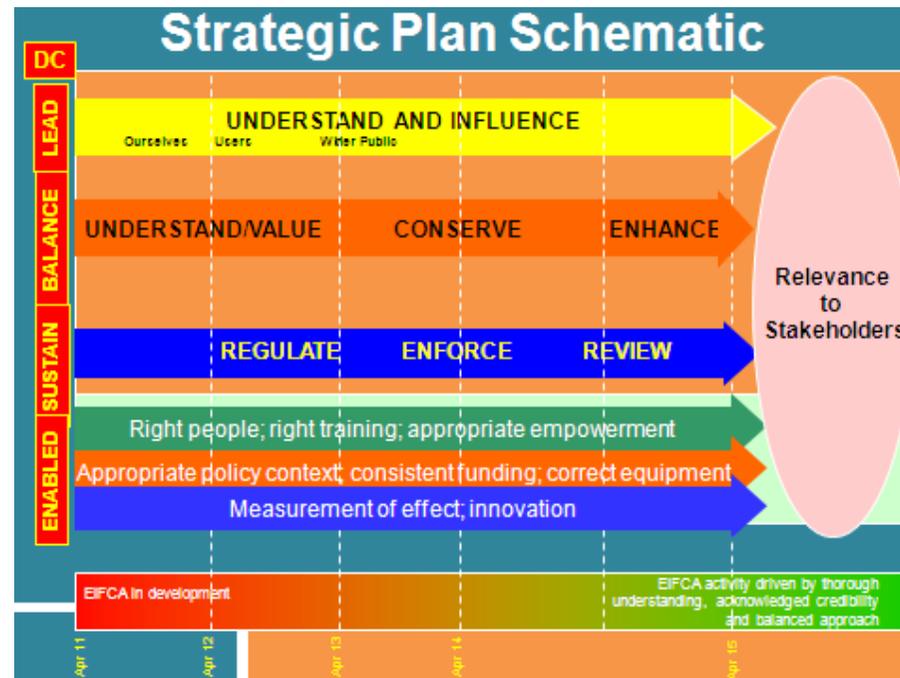
EA Environment Agency

\* Chair of the Authority

# Vice Chair of the Authority

## Strategic Planning

In order to frame annual planning and to establish a firm direction of travel, an Eastern IFCA strategic plan has been developed. The intent of the plan is to assure that all activity is meaningful and works towards delivery of both Defra mandated outputs and local issues and concerns that need to be tackled. The design used identifies Centres of gravity<sup>2</sup> at the strategic, operational and tactical levels. To service a centre of gravity requires decisive conditions<sup>3</sup> to be established which in turn are delivered by supporting effects<sup>4</sup>. These are organised into lines of operation to sequence their delivery. The diagram below lays out the top level view which is supported by in depth analysis of each line of operation which determines what needs to be achieved, when and in what order – in short, the annual plan. Using this methodology gives the Authority an auditable and reasoned approach to delivery of its outputs and allows a supporting mechanism of measurement to be established.



### Strategic Centre of Gravity

Any characteristic, capability or influence from which an organisation draws its freedom to operate, cohesion and strength, both intellectual and physical.

Relevance to Stakeholders represents the Centre of Gravity (CoG) to Eastern IFCA. In all we do we must remain conscious that our freedom to operate, cohesion and strength is drawn from remaining relevant to our stakeholders.

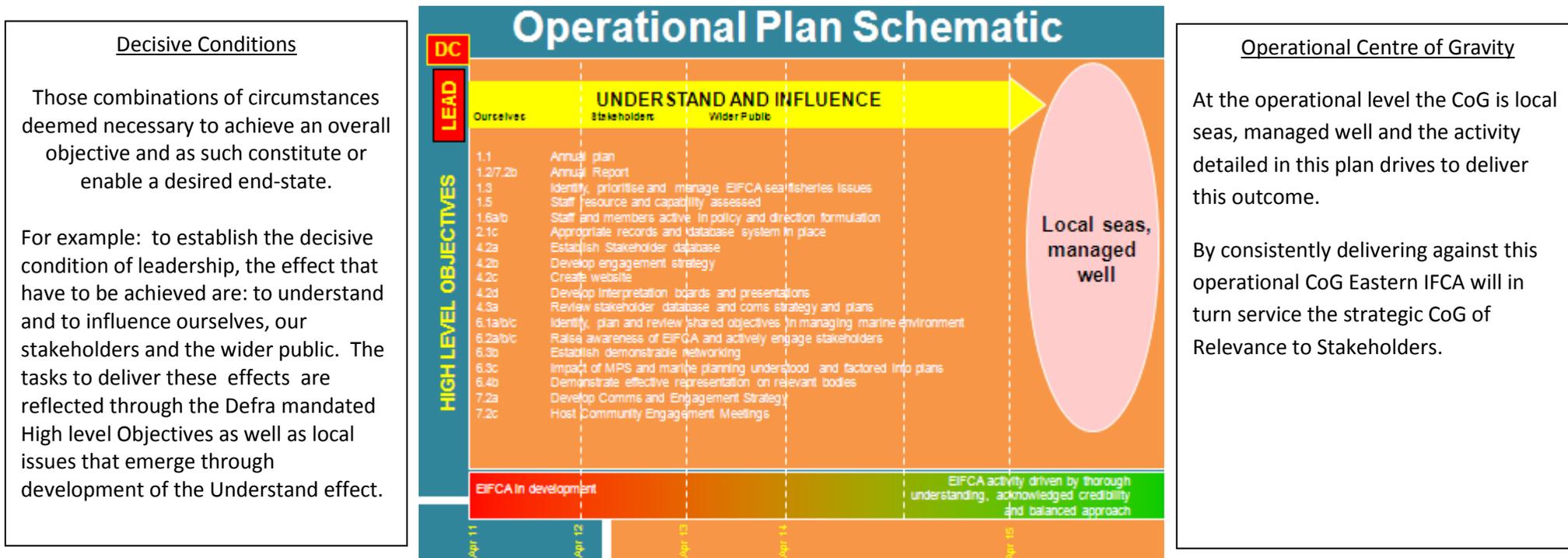
The critical characteristics, requirements and vulnerabilities associated with the CoG have been analysed and are reflected in this plan.

<sup>2</sup> Any characteristic, capability or influence from which an organisation draws its freedom to operate, cohesion and strength, both intellectual and physical.

<sup>3</sup> Those combinations of circumstances deemed necessary to achieve an overall objective and as such constitute or enable a desired end-state.

<sup>4</sup> The intended consequence of actions and the delivery of supporting effects will create the desired decisive condition.

Further detailed planning has been conducted to brigade the mandated high level objectives under the appropriate line of operation. The schematic below sets out how the decisive condition of Lead is supported by the effects of Understand and Influence and how, in turn, the selected high level objectives relate to it. By delivering the objectives we can underpin the supporting effect to deliver the operational requirement of local seas, managed well. By replicating this behaviour across the suite of decisive conditions we will set the conditions to deliver our operational outputs and as a result our strategic centre of gravity of relevance to stakeholders<sup>5</sup>



The overall output of the planning effort is to move Eastern IFCA from an IFCA in development to an organisation whose activity is driven by a thorough understanding; acknowledged credibility and a balanced approach

<sup>5</sup> Stakeholders is deemed to include all those with a vested interest in promoting healthy seas, sustainable fisheries and a viable industry.

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## Priorities for the year

There are 8 major priorities for the Authority in Eastern IFCA 2013-14. These are:

- to secure appropriate funding to deliver mandated outputs;
- to continue investments in staff to preserve appropriate subject matter expertise
- to deliver fisheries management measures for designated 'Red' features (those at highest risk) with European Marine Sites within the mandated timeframe;
- to commence a comprehensive byelaw review process comprising of three stages;
  1. exclusion of byelaws not applicable or relevant to the Eastern IFCA District
  2. like for like substitution of uncontested byelaws directly applicable to the Eastern IFCA District
  3. development of complex or contested or new byelaws.
- to procure sea going enforcement assets;
- to implement a district-wide risk based enforcement approach,
- to discharge responsibilities under the Wash Fishery Order (1992) and consider a review of its provisions
- to introduce fisheries management measures in designated Marine Conservation Zones

Subordinate priorities which we aspire to deliver include:

- to advance the Authority's understanding of the species, habitats and activities occurring in the district
- to advance the Authority's understanding of the needs of the recreational angling sector including bespoke research and interpretation of the results of CEFAS Angling 2012 project;
- to contribute to 'Project Inshore'. This national project will assist the Authority by providing an independent assessment of the state of each major fish/shellfish stock within the Authority's district which will help inform the byelaw review process and future work of the Authority to ensure sustainable exploitation of these resources.
- to contribute to data requirements to meet Marine Strategy Framework Directive commitments (particularly crab and lobster)
- to engage with marine planning issues, including consultations on developments.
- to promote the work of Eastern IFCA through outreach events.

Effectively working towards the seven success criteria and their associated high level objectives will be central to our continued development over the coming two years, and will provide a framework for working level objectives and individual staff work objectives to be developed for the period April 2013 to April 2015.

This document sets out the future priorities and aspirations of the Authority. It is important at this point to recognise the significant day to day work of the Authority and its employees. Considerable time and effort is spent on:

- maintaining and operating the Authority's sea going assets;
- enforcing local, national and EU fisheries and environmental legislation;

- 
- building relationships with the coastal community;
  - performing the role of Statutory Consultee for marine licence applications;
  - ensuring the effective administration and operation of the Authority;
  - demonstrating value for money to funding authorities and ultimately to the taxpayer; and
  - informing and educating the wider community of local marine issues and initiatives.

## Delivery of priorities

The following tables illustrate the activities that Eastern IFCA will conduct during the 2013-2014 financial year. Defra has established seven Success Criteria for IFCAs to meet. For each Success Criterion a number of High Level Objectives (HLOs) have also been established. In order that the achievement of the HLOs can be determined a further set of Performance Indicators (PIs) have been identified. (Full details for annual plans are provided by Defra Guidance to IFCAs (Defra2010a)).

- denotes predicted completion date or that the action is ongoing.

### Success Criterion 1: IFCAs have sound governance and staff members are motivated and respected

| High Level Objective<br>Performance Indicator   | Action:  | Q1                             | Q2                            | Q3                        | Q4                        |
|---|--|--------------------------------|-------------------------------|---------------------------|---------------------------|
| (1.1) The development of an annual plan to meet the requirements of the Authority and Defra. To be prepared and published by 31 <sup>st</sup> March.  | Delivery of Annual Plan for signature by Planning and Communication sub-committee with document published by 31 <sup>st</sup> March 2013.<br><br>Development of annual plan 2014-15  | •                              |                               |                           | •                         |
| (1.2) Annual reports meeting the requirements of the Authority and Defra are prepared and published   | Publication of the Eastern IFCA Annual Report 2012-2013  |                                | •                             |                           |                           |
| (1.3) The issues impacting sea fisheries resources within the Eastern IFCA District have been identified, prioritised and, where appropriate, suitable management plans for them put in place by April 2015; management plans and progress against them are reflected in annual plans and reports | Deliver fisheries management measures for to protect designated 'Red' features within EMS<br><br>Develop and publish the Authority's Environment and Research Strategy<br><br>Scope the review of the Wash Shellfish policies<br><br>Scope the review of the administration of the WFO 1992<br><br>Support district fishermen in bid to achieve MSC accreditation for the brown/pink shrimp fisheries within the district.<br><br>Understand our commitments to meet MSFD requirements, particularly Crab and lobster stocks<br><br>Strengthen internal process for identifying and prioritising issues impacting sea fisheries resources within the district. | •<br>•<br><br>•<br>•<br>•<br>• | •<br><br><br>•<br>•<br>•<br>• | •<br><br>•<br>•<br>•<br>• | •<br><br>•<br>•<br>•<br>• |

**(Continued) Success Criterion 1: IFCA's have sound governance and staff are motivated and respected**

| High Level Objective<br>Performance Indicator  | Action:   | Q1 | Q2 | Q3 | Q4 |
|--|---|----|----|----|----|
| (1.4) A staff management system, including training and development plans, is in development and being tested during 2013 for approval by staff and the authority and implementation in 2014                               | Staff performance management system linking to individual training and development plans - developed and implemented  | •  | •  | •  | •  |
| (1.5) Staff resources and capability is assessed against IFCA objectives and duties with a gap analysis by April 2013; plans for addressing problems and progress against them are reflected in annual plans and reports   | Staff resources and capability monitored against objectives and duties  | •  | •  | •  | •  |
| (1.6a) Systems are developed and implemented that enable all staff and members to contribute to and comment on all IFCA policies and business by Sept 2012; systems follow best practice/principles in Investors In People | <p>Human resources specialist has been recruited by the Authority. In 2012/13 the Authority completed a review of job descriptions and remuneration. The focus for 2013/14 will be:</p> <ul style="list-style-type: none"> <li>• maintaining Investors In People status</li> <li>• maintain staff feedback questionnaire</li> <li>• introduce processes to support appropriate ways of working</li> </ul> | •  | •  | •  | •  |
| (1.6b) Staff and members are content that they can influence the development of policy for the IFCA demonstrated through annual feedback   | <p>Identify and encourage the development of an appropriate culture for the Authority and its employees</p> <p>Regular line management and monthly staff meetings capture staff suggestions</p> <p>'Bright Ideas' proforma developed</p>  | •  | •  | •  | •  |

**Success Criterion 2: Evidence based, appropriate and timely byelaws are used to manage the sustainable exploitation of sea fisheries resources within the district**

| High Level Objective Performance Indicator   | Action:  | Q1 | Q2 | Q3 | Q4 |
|--|--|----|----|----|----|
| (2.1a) The issues impacting sea fisheries resources within the IFCA District have been identified, prioritised and, where appropriate, suitable management plans for them put in place by April 2015; management plans and progress against them are reflected in annual plans and reports | <p>All fisheries operating within the district are identified spatially and temporally</p> <p>Contribute to 'Project Inshore' to assess each major fish and shellfish stock in the District</p> <p>Consider including MSFD (Crab and Lobster stocks) projects in the 2013/14 research plan</p> <p>Link to HLO PI 1.3</p> | •  | •  | •  | •  |
| (2.1b) All byelaws made after April 2011 meet the requirements of Defra guidance   | <p>Regulatory and Compliance Sub-Committee operational</p> <p>Training provided to the Regulatory and Compliance Sub-Committee prior to the committee considering any new byelaws</p> <p>Impact assessments undertaken to provide robust audit of social, economic and environmental effects of any new byelaws</p>      | •  | •  | •  | •  |
| (2.1c) IFCA's have necessary records and database systems in place to inform decision making   | <p>Developed working partnership with Norfolk County council to:</p> <ul style="list-style-type: none"> <li>• Review data holdings</li> <li>• Develop appropriate file structure</li> <li>• Establish linkages with other agencies' databases</li> <li>• Develop management information products</li> </ul>              | •  | •  | •  | •  |
| (2.2a) The byelaw review and changes are on schedule to meet the objective of reviewing and evaluating all legacy byelaws by 2015  | <p>Byelaw review project scope established</p> <p>Byelaw review commenced with existing byelaws assessed and prioritised for revocation, like for like replacement or further research and development</p> <p>Byelaws that are no longer relevant revoked</p>  | •  |    | •  | •  |

### Success Criterion 3: A fair, effective and proportionate enforcement regime is in place

| High Level Objective Performance Indicator   | Action:  | Q1 | Q2 | Q3 | Q4 |
|--|--|----|----|----|----|
| (3.1a) Annual enforcement risk register published on each IFCA website and available for viewing at each IFCA office by 30 April each year   | Interim enforcement risk register developed and published  | •  |    |    |    |
|  | Detailed enforcement Risk Register developed   |    |    | •  | •  |
|  | Detailed risk based enforcement framework developed and implemented  |    |    | •  | •  |
| (3.1b) The IFCA's enforcement risk register is peer reviewed. The peer review comments are forwarded to the CFO and Chairman of the IFCA   | Enforcement risk register peer reviewed by CEO of Kent and Essex IFCA and Sussex IFCA  |    |    | •  | •  |
|  | Peer review comments forwarded to the CEO, Chair of the Authority and Chair of Regulatory and Compliance Sub-Committee                   |    |    | •  | •  |
| (3.1c) The enforcement risk register is compiled in a standard format approved by all IFCA's and provided to the national peer review panel by the 28 February each year   | Explore the opportunity for the development of a national IFCA standardised risk register  | •  | •  | •  | •  |
|  | Explore the opportunity for the development of a national IFCA standardised risk based enforcement framework                             | •  | •  | •  | •  |
| (3.2a) A code of conduct for inspections both ashore and at sea is created by 30 <sup>th</sup> October 2011 and reviewed annually. The code of conduct is published on each IFCA's website and available from each IFCA's office by 30 April each year   | A code of conduct for inspections has been published and is available on website (complete)  |    |    |    |    |
|  | Annual review of code of conduct   |    |    |    | •  |
| (3.2b) Establish a national IFCA/MMO team by 30 <sup>th</sup> October 2011 to independently assess the overall quality of enforcement inspections conducted by each IFCA on an annual basis with the results reported back to the CFO and Chairman of the inspected IFCA by the 30 April each year | Support the development of an IFCA/MMO assessment team.<br><i>N.B.</i> Dependent on national action for Performance Indicators to be met | •  | •  | •  | •  |
|  | Participate in national meetings and programmes  | •  | •  | •  | •  |

**(Continued) Success Criterion 3: A fair, effective and proportionate enforcement regime is in place**

| <b>High Level Objective Performance Indicator</b>  | <b>Action:</b>  | <b>Q1</b> | <b>Q2</b> | <b>Q3</b> | <b>Q4</b> |
|--|---|-----------|-----------|-----------|-----------|
| (3.2c) Development of an SLA between IFCA, MMO, NE and EA regarding the provision of standardised enforcement training and the secondment process for officers of each signatory by 30 April 2012                          | <p>Ongoing.</p> <p>Routine provision of MMO training has yet to be fully secured although Eastern IFCA officers have undertaken some MMO delivered training</p> <p>Secondment of personnel is agreed and captured in individual MoUs (complete)</p>             | •         | •         | •         | •         |
| (3.2d) Each IFCOs enforcement knowledge and performance is assessed (to nationally determined standards - to be developed by 30 April 2012) on a bi-annual basis whilst attending the national enforcement training course | <p><i>N.B.</i> Dependent on national action for Performance Indicators to be met</p> <p>Eastern IFCA IFCOs to be assessed and identified as competent prior to being issued with a Warrant</p> <p>Training courses identified in personal development plans</p> | •         | •         | •         | •         |

#### Success Criterion 4: IFCA work in partnership and are engaged with their stakeholders

| High Level Objective<br>Performance Indicator  | Action:  | Q1     | Q2     | Q3     | Q4     |
|--|--|--------|--------|--------|--------|
| (4.1a) Initial MOUs are agreed and adopted by end of April 2011  | Memoranda of Agreement/Understanding have been agreed with NE/EA/MMO/Cefas. have been developed with NE & MMO?                                 | •<br>• | •<br>• | •<br>• | •<br>• |
| (4.1b) Discussions have been held with partner organisations with regard to Service Level Agreement (SLAs); SLA (if required) are agreed and adopted by April 2012   | MoUs/MOAs/SLAs with NCC, LCC, ACPO, WNNCEMS, KEIFCA, NEIFCA, AIFCA developed   | •      | •      | •      | •      |
| (4.1c) Identify and discuss with lead local authority requirement for SLA by October 2011  | Achieved   | •      | •      | •      | •      |
| (4.1d) Each MOU and SLA is reviewed annually to ensure effective delivery of objectives as defined in the annual plan; progress against MoU action plans is reflected in annual reports                        | MOUs reviewed  | •      | •      | •      | •      |
| (4.2a) Set-up database of stakeholders from current list by April 2011. Update list every 6 months. Review contacts list annually  | Community Development Officer (CDO) recruited. Contacts management in hand   |        |        |        |        |
| (4.2b) Engagement strategy developed by April 2012   | Communication and Engagement Strategy agreed by Planning and Communications Sub-Committee (PCSC). Annual output plans flow from this strategy. | •      | •      |        |        |
| (4.2c) By April 2012 each IFCA to create a website to give access to current information; all regular forms and documents to be provided electronically by April 2013. Website is reviewed and updated monthly | CDO Data Officer to organise monthly e-newsletter updates and regular tweets   | •      | •      | •      | •      |
| (4.2d) Develop interpretation boards and presentations to allow greater interaction with stakeholders  | Interpretation boards designed and manufactured, to be installed at appropriate coastal locations within district                              | •      | •      |        |        |
|  | Eastern IFCA scheduled to attend community shows in 2013   | •      | •      | •      | •      |
| (4.3a) Reviewed stakeholder and communication strategy/plans and stakeholder database completed by April 2014  | Completed  | •      | •      | •      | •      |

### Success Criterion 5: IFCA's make the best use of evidence to deliver their objectives

| High Level Objective<br>Performance Indicator   | Action:  | Q1 | Q2 | Q3 | Q4 |
|---|--|----|----|----|----|
| (5.1a) By April 2012, committee to sign off strategic research plan, which has undergone consultation, covering the period until April 2015 | Five year Environment and Research Strategy to be presented to the PC Sub-Committee by 31 March 2013   | •  |    |    |    |
| (5.1b) Research plan is published each year   | Annual (2013/2014) Environment and Research Plans developed by officers and presented to PC Sub-Committee<br><br>–Annual Environment and Research Plans published on Authority's website   | •  |    |    |    |
| (5.1c) Previous year's research report published each year  | The 2012/13 will be published at the end of April 2013.  |    | •  |    |    |
| (5.1d) IFCA annual report to demonstrate how evidence has been used in decision making processes  | Annual Report illustrates how the Authority has incorporated evidence in its decision making   | •  | •  |    |    |
| (5.2a) By April 2012 develop and agree MoUs with delivery partners and review annually  | Included in MoUs in HLO 4.1  | •  | •  | •  | •  |
| (5.2b) IFCA representative to take part in annual IFCA scientific conference  | In 2012/13 Authority Research and Environment staff participated in a variety of scientific fora. This included World Fisheries Congress, Rare Fish (IFM) Conference, and Coast MS discussions. All Authority Research and Environment Staff participated in the IFCA Technical Advisory Group conference. Similar participation will continue (links to HLO 1.4). | •  | •  | •  | •  |
| (5.2c) IFCA representative to proactively be involved in relevant evidence networks to share best practice, e.g. Technical Advisory Group.  | Eastern IFCA Senior Research Officer leading role in Technical Advisory Group. Eastern IFCA Research & Environment Officers provide information and training in specialist fields to others to forward the development of best practice  | •  | •  | •  | •  |
| (5.3a) IFCA annual plan and report demonstrate use of evidence, resources and capability as per strategic research plan                     | 2013-2014 Annual Plan developed incorporating 5.3a   | •  |    |    |    |
| (5.3b) Seek appropriate peer review of research reports   | Peer review process established through regular cross pollination of information and best practice between South Eastern IFCA's <sup>6</sup> .   | •  | •  | •  | •  |

<sup>6</sup> Eastern, Kent and Essex and Sussex IFCA's

**(Continued) Success Criterion 5: IFCA's make the best use of evidence to deliver their objectives**

| <b>High Level Objective<br/>Performance Indicator</b>  | <b>Action:</b>   | <b>Q1</b> | <b>Q2</b> | <b>Q3</b> | <b>Q4</b> |
|--|--|-----------|-----------|-----------|-----------|
| (5.3c) IFCA annual plans and reports, including research plans and reports, are published online on the IFCA and Technical Advisory Group websites | 2013-2014 Annual Plan published on Eastern IFCA website<br><br>2011/2012 and 13/14 Annual Report published on Eastern IFCA website<br><br>2013/2014 Environment and Research Plans published on Eastern IFCA website and circulated with TAG | •         | •         | •         | •         |
| (5.4a) Develop knowledge sharing plans and procedures by April 2014.   | Development of knowledge sharing plans explored with Kent and Essex IFCA and Sussex IFCA /TAG  | •         | •         | •         | •         |
| (5.4b) Knowledge sharing plans are reviewed and amended annually   | Link to 2.1c   |           | •         |           |           |

## Success Criterion 6: IFCA's support and promote the sustainable management of the marine environment

| High Level Objective<br>Performance Indicator  | Action:  | Q1                  | Q2                 | Q3                 | Q4                 |
|--|--|---------------------|--------------------|--------------------|--------------------|
| (6.1a) Identify where there are shared objectives in managing the marine environment with partner organisations by April 2012 and identify how these impact on IFCA's objectives   | MoU developed with Kent and Essex IFCA regarding management of the River Stour<br><br>MoU developed with North Eastern IFCA regarding respective roles in the Humber EMS<br><br>Local Annex to MMO MoU established with Eastern district   | •<br><br>•<br><br>• | •<br><br>•         |                    |                    |
| (6.1b) Shared objectives are set out in annual plans   | EIFCA/MMO Shared objectives reflected in MoU and reviewed at monthly tactical control group meetings   | •                   | •                  | •                  | •                  |
| (6.1c) Progress of shared objectives reported on in annual reports   | Included in Annual Report  | •                   |                    |                    |                    |
| (6.2a) Plans and processes for raising awareness of IFCA's work in place by April 2013   | Communications and Engagement Strategy completed by CDO.<br>Outreach and education events scheduled  | •                   | •                  | •                  | •                  |
| (6.2b) Examples of engagement set out in annual reports  | Included for the 2012/13 Annual Report   |                     |                    | •                  |                    |
| (6.2c) Feedback from relevant stakeholders regarding the effectiveness of engagement is routinely sought   | Feedback included as part of the Development of a Communications and Engagement Strategy ( links to HLO 6.2a)<br>Quarterly community meetings scheduled  | •<br>•              | •<br>•             | •<br>•             | •<br>•             |
| (6.3a) The issues impacting sea fisheries resources within the IFCA District have been identified, prioritised and, where appropriate, suitable management plans for them put in place by April 2015; management plans and progress against them are reflected in annual plans and reports | 1.Environment and Research Strategy delivered to PC Sub Committee by April 2013 (links to HLO 5.1 a&b)<br><br>2. Gather information to deliver fisheries management measures for designated 'Red' features in European Marine Sites within the mandated timeframe;<br><br>3. Project Inshore – as for HLO 2.1a<br><br>4. Wash cockle and mussel management policies reviewed (links to HLO 1.3)<br><br>5 Start to assess amber and green fishery/feature interactions in EMS | •<br><br>•<br><br>• | <br><br>•<br><br>• | <br><br>•<br><br>• | <br><br>•<br><br>• |
| (6.3b) Examples of proactive involvement in relevant networks to share best practice are reported in annual reports  | Included in Annual Report (links to 6.2b)  | •                   |                    |                    |                    |
| (6.3c) The impact of the Marine Policy Statement and the process of marine planning on IFCA's work have been assessed and addressed by April 2015  | Eastern IFCA officers liaison with, and comment to the MMO marine planning team during consultation on the East coast marine plans to be launched mid 2013   | •                   | •                  | •                  | •                  |

**(Continued) Success Criterion 6: IFCA support and promote the sustainable management of the marine environment**

| High Level Objective<br>Performance Indicator   | Action:  | Q1                           | Q2                           | Q3                           | Q4                           |
|---|--|------------------------------|------------------------------|------------------------------|------------------------------|
| (6.4a) Assessment of the condition of Marine Protected Areas by statutory bodies, where available, have been taken into account when developing suitable management plans | Liaison with Natural England for up-to-date information on condition of MPA features<br><br>MPA feature condition considered against conservation objectives as Eastern IFCA develops fisheries management within MPAs<br><br>Habitats Regulations assessment undertaken by Eastern IFCA for Wash Fishery Order fisheries<br><br>Information gaps identified and joint planning undertaken with statutory bodies to obtain EMS condition data for amber and green fishery/feature interactions | <br><br>•<br><br>•<br><br>•  | •<br><br>•<br><br>•<br><br>• | •<br><br>•<br><br>•<br><br>• | •<br><br>•<br><br>•<br><br>• |
| (6.4b) IFCA can demonstrate effective representation on relevant management boards/steering groups for Marine Protected Areas, where appropriate                          | Maintain active role as lead authority for the WNNCEMS and employs the WNNCEMS Project Manager<br><br>Maintain active role as member of the Stour & Orwell Estuaries Management Group<br><br>Maintain active role as member of the Humber Estuary Relevant Authorities Group   | •<br><br>•<br><br>•<br><br>• | •<br><br>•<br><br>•<br><br>• | •<br><br>•<br><br>•<br><br>• | •<br><br>•<br><br>•<br><br>• |
| (6.4c) IFCA can demonstrate delivery of the principles outlined in Government guidance on sustainable development   | IFCA developing ISO 14001 compliant Environment Management System, including training staff for auditor roles.<br><br>Utilise and apply Defra guidance for IFCA on sustainable development (Q1 Q2)   | •<br><br>•                   | •<br><br>•                   | •<br><br>•                   | •<br><br>•                   |

## Success Criterion 7: IFCA's are recognised and heard

| High Level Objective Performance Indicator   | Action:  | Q1 | Q2 | Q3 | Q4 |
|--|--|----|----|----|----|
| (7.1a) By April 2012, each IFCA is actively involved, through membership, in the direction, good governance and running of the AIFCA   | AIFCA enabled  | •  | •  | •  | •  |
|  | EIFCA CEO regular involvement in AIFCA meetings and work programmes  | •  | •  | •  | •  |
| (7.1b) Initial MOUs are agreed and adopted by end of April 2011; SLAs (if required) are agreed and adopted by April 2012. Each MOU and SLA to be reviewed annually to ensure effective delivery of objectives as defined in the annual plan                    | Agree MOAs with EA/NE/Cefas (links to HLO 4.1)   | •  | •  | •  | •  |
|  | Develop SLAs   | •  | •  | •  |    |
|  | Review MOUs/SLAs   |    |    |    | •  |
| (7.1c) By April 2012 partnership working is embedded in each IFCA (and partner organisation), evidenced on an annual basis by regular liaison meetings and joint or collaborative activities as defined in the annual plan                                     | Host and attend regular Eastern Regional Liaison Group meetings (Police/EA/MMO/KEIFCA)                             | •  |    | •  |    |
|  | Continued participation of a South East IFCA (KEIFCA/SIFCA) working group to carry forward items of mutual benefit | •  | •  | •  | •  |
|  | Employment of the WNNCEMS Project Manager  |    |    |    |    |
|  | Close working with partner organisations is encouraged when dealing with case studies                              | •  | •  | •  | •  |
| (7.2a) By April 2013 a strategy for the promotion of IFCA's work is developed, including the development of promotional / communication plans which are to be reviewed annually  | Communication and Engagement Strategy re-aligned to deliver against outcomes of Mackman Group benchmarking study   | •  |    |    |    |
|  | Engagement opportunities identified  | •  |    |    |    |
|  | Development of Area IFCO roles to adopt a higher profile in relevant communities                                   | •  | •  | •  | •  |
|  | Appropriate display infrastructure identified and resourced  | •  |    |    |    |
| (7.2b) By April 2013 annual reports by IFCA's to include a specific element which has data on 'compliments, comments and complaints' from stakeholders and general public and significant events which have demonstrated the IFCA's PR strategy is operational | Include a public engagement section within the 2013-2014 Annual Report   |    | •  |    |    |
| (7.2c) By April 2013, as a minimum, each IFCA to hold proactive biennial stakeholder meetings and events to inform and consult with all interested parties in the IFCA District  | Area Community engagement meeting conducted after quarterly IFCA meetings  | •  | •  | •  | •  |
|  | Other engagement opportunities identified, resourced and attended  | •  | •  | •  |    |
| (7.2d) By April 2012 each IFCA to create a website to give access to current information; all regular forms and documents to be  | Eastern IFCA website data managed as required  | •  | •  | •  | •  |

|   |  |   |   |   |   |
|---|--|---|---|---|---|
| provided electronically by April 2013. Website is reviewed and updated monthly  |  |   |   |   |   |
| (7.2e) By April 2011 all IFCA staff to be badged so as to be recognised as IFCA officers who following internal training, can speak with authority on the IFCA aims and objectives                              | Uniform reviewed and improved where necessary  | • | • | • | • |
|   | Staff meeting scheduled monthly  | • | • | • | • |
|   | Key messages/Lines to take provided as required  | • | • | • | • |
|   | Development of Area IFCO role  |   |   |   |   |
| (7.2f) By December 2013 annual staff appraisals will be undertaken to measure the standards of behaviour toward, and interaction with, stakeholders, general public and officers/staff of partner organisations | Links to 1.6a Training in staff appraisals to be conducted   | • | • | • | • |
|   | December 2012 Finance and personal Sub-Committee agreed approach for a staff performance appraisal system incorporating 360 degree external feedback mechanism | • | • |   |   |

## Risk management strategy

As part of planning for the coming year, the Authority must identify risks to its work programme. The risk register below illustrates the main risks to the delivery of the priorities of the Authority identified by Officers. The risk matrix is a 'live' document that will be updated as the Authority better understands the work it will be required to conduct, which may in turn lead to the redirection of resources. The assessment of risk is inevitably a subjective one based on the experience of the individuals assessing the risk.

It should also be noted that this risk register only records the main threats to the organisation and is by no means definitive.

Where a risk has the potential to prevent Eastern IFCA from achieving a High Level Objective (HLO) this has been noted within the risk register.

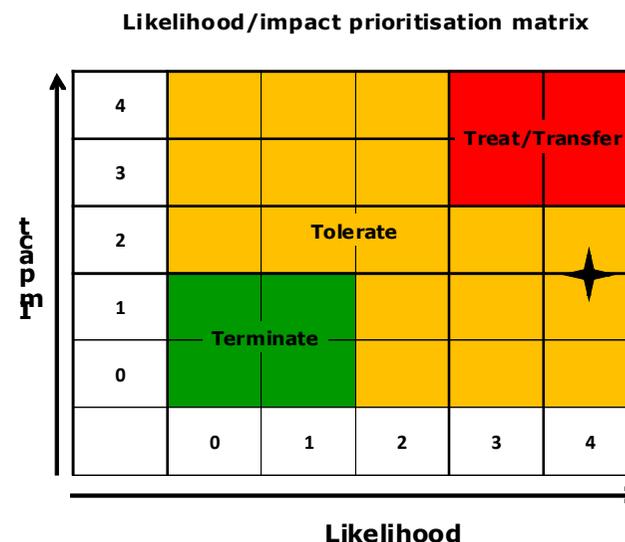
The risk matrix sets out the magnitude of the risk to Eastern IFCA from an organisational viewpoint incorporating amongst others reputational and financial risks. The matrix also sets out the likelihood of an identified risk occurring. Mitigation which is in place or to be introduced is identified. Risk is ranked on an arbitrary scale from 0 (low risk - coloured green) to 4 (high risk - coloured red). The average of the combined financial and reputational risk is taken and plotted on to the matrix below, the likelihood of that risk occurring is also plotted. Mitigation action is noted. It should be noted that in most cases there are already many actions being undertaken as part of routine working practices to reduce the risks to the Eastern IFCA.

The four actions that can be applied are:

- Treat** - take positive action to mitigate risk.
- Tolerate** - acknowledge and actively monitor risk.
- Terminate** - risk no longer considered to be material to Eastern IFCA business.
- Transfer** - risk is outwith Eastern IFCA ability to treat and is transferred to higher level.

### Risk matrix with worked example

Risk A poses a financial threat (2) to the organisation and a reputation threat (1) generating a resultant impact level of 1.5. The likelihood of the threat occurring is determined as 4. The resultant risk to Eastern IFCA is therefore plotted using the matrix and is identified as a risk that should be tolerated.



## Strategic Risk

| Description & related HLOs (e.g. 1.1)  | Owner    | Implications  | Organisational impact (Reputation + Financial/2) |           | Likelihood  | Risk | Mitigation   | Action         |
|--|----------|---|--|-----------|---|------|--|----------------|
| Eastern IFCA funding substantially reduced<br><br>(All)                        | CEO/PCSC | Any reduction in funding will hazard EIFCA ability to deliver outputs   | 4  |           | 4   | High | <ul style="list-style-type: none"> <li>Deliver 25% savings mandated in CSR 10 provision</li> <li>Assure financial propriety and operate within financial regulations</li> <li>Seek efficiencies and promote cost effectiveness.</li> <li>Demonstrate value for money.</li> <li>Advertise/promote EIFCA output and effectiveness to funding authorities through regular engagement with Council leaders and Financial Directors.</li> <li>Engage with Defra through AIFCA to assure continued provision of New Burden funding.</li> <li>Identify mechanisms to recover costs for outputs judged to be over and above the core IFCA role.</li> <li>Prepare to transfer risk to higher authority</li> </ul> | Treat/Transfer |
|  |          |   | Reputation                                       | Financial | Probable - The extension of the government austerity policies and the savings targets imposed upon local authorities introduces significant risk of a revision of funding provision.  |      |  |                |
|  |          |   | 4  | 4         | <p>Inability to independently fund or deliver outputs</p> <p>Potential for a requirement for increased provision short term to fund redundancies.</p> <p>Despite the limited ability of the Authority to influence strategic financial decisions at County Council level there is potential for the perception that funding has been withdrawn owing to under performance</p> <p>Authority perceived to have not 'sold' itself with enough vigour</p> |      |  |                |
| Eastern IFCA fails to maintain relevance amongst stakeholders<br><br>(1.3/2.1) | CEO/FPSC | If Eastern IFCA fails to maintain relevance amongst stakeholders Eastern IFCA's utility will come under scrutiny potentially resulting re-allocation of duties. | 4  |           | 3   | High | <ul style="list-style-type: none"> <li>Engage with all stakeholders routinely.</li> <li>Use Bright Ideas template to draw desired outcomes from stakeholder community.</li> <li>Use annual plans to prioritise and communicate outputs for 2013-2014</li> <li>Measure progress/deliver outputs</li> <li>Represent community issues to higher authorities</li> <li>Provide a leadership function</li> </ul>   | Treat          |
|  |          |   | Reputation                                       | Financial | Probable - Disparate stakeholder aspirations introduce complexities which may drive perceptions of ineptitude or inefficiency.  |      |  |                |
|  |          |   | 4  | 4         | <p>Loss of confidence in the organisation</p> <p>Withdrawal of LA and Defra funding for the organisation</p> <p>Failure of the organisation to perform in accordance with the standards and practices of a statutory public body</p>  |      |  |                |

|   |          |  |  |  |   |  |   |              |
|---|----------|--|--|--|---|--|---|--------------|
| Loss of suitably qualified and experienced personnel<br>(1.4/1.5/1.6) | CEO/FPSC | Reduced efficiency and effectiveness<br><br>Disruption for remaining staff<br><br>Loss of skills and knowledge | <b>2</b>   |  | <b>3</b>  |  | <ul style="list-style-type: none"> <li>• Appropriate leadership and management</li> <li>• Investment in professional and personal development</li> <li>• Formalise staff appraisal system</li> <li>• Provide safe and professional working environment</li> <li>• Enable flexible working arrangements</li> <li>• Conduct regular internal communications events</li> <li>• Empower line management</li> <li>• Delegate functions and outputs to the lowest level.</li> </ul> | <b>Treat</b> |
|   |          |  | <b>Reputation</b>  | <b>Financial</b>                               | Probable – factors such as relative remuneration, relocation and rigour of the job when coupled with emergent opportunities in the private sector introduce risk across the breadth of staff but particularly research and environment posts. |  |   |              |
|   |          |  | <b>2</b>   | <b>2</b>                                       |   |  |   |              |
|   |          |  | Eastern IFCA perceived as a moderate employer.                               | Loss of productivity introduces financial risk |   |  |   |              |
|   |          | Perception of Eastern IFCA as an intermediate step in a career progression reinforced.                         | High financial investment required to repeatedly train and equip new joiners |  |   |  |   |              |

| Description & related HLOs (e.g. 1.1)   | Owner    | Implications  | Organisational impact (Reputation + Financial/2) |  | Likelihood   | Risk | Mitigation  | Action       |
|---|----------|---|--|--|--|------|---|--------------|
|   |          |   | Reputation                                       | Financial  |  |      |   |              |
| Negative media comment<br><br>(1.3/2.1) | CEO/PCSC | Negative perceptions of Eastern IFCA utility and effectiveness created at MMO/Defra<br><br>Loss of Stakeholder confidence<br><br>Media scrutiny of individual Authority members | <b>3</b>   |  | Possible – disenfranchised stakeholders seek to introduce doubt as to EIFCA professionalism, utility and effectiveness |      | <ul style="list-style-type: none"> <li>Actively and regularly engage with all stakeholders including media outlets.</li> <li>Embed professional standards and practices.</li> <li>Deliver change efficiently and effectively.</li> <li>Promote activity</li> <li>Assure recognition and understanding through community events</li> </ul> | <b>Treat</b> |
|   |          |   | <b>4</b>   | <b>2</b>   |  |      |   |              |
|   |          |   | EIFCA perceived to be underperforming            | Negative perceptions introduce risk to continued funding |  |      |   |              |
|   |          |   | EIFCA considered poor value for money            |  |  |      |   |              |

| Description & related HLOs (e.g. 1.1)                        | Owner     | Implications  | Organisational impact (Reputation + Financial/2)                                    |   | Likelihood  | Risk | Mitigation   | Action |
|--|-----------|---|---|---|---|------|--|--------|
|  |           |   | Reputation  | Financial   |   |      |  |        |
| Degradation of MPAs due to fishing activity<br>(6.1/6.3/6.4) | CEO/MPASC | Loss or damage of important habitats and species within environmentally designated areas<br><br>Potential for European infraction nationally resulting in significant financial penalties at the local level. | 3.5   |   | Possible - Eastern IFCA's approach to managing sea fisheries resources takes into account environmental obligations |      | <ul style="list-style-type: none"> <li>Proposed fishing activity authorised by Eastern IFCA are assessed according to Habitats Regulations</li> <li>EIFCA is fully engaged in national fisheries/EMS project, prioritising management of highest risk fisheries in MPAs and implementing new management measures</li> <li>Effective enforcement</li> <li>Adaptive co-management approach to fisheries management</li> <li>Liaison with Natural England regarding fisheries in MPAs Apply the process agreed by Eastern IFCA for managing fishing activities in Marine Protected Areas</li> <li>Review agreed Wash Cockle &amp; Mussel Policies</li> <li>Investigation into the use of VMS as a management tool by the Authority</li> <li>Continue to progress research into the impact of fishing activities on MPA features to ensure the Authority has an up to date evidence base to inform its management decisions</li> </ul> | Treat  |
|  |           |   | 4   | 3   |   |      |  |        |
|  |           |   | Eastern IFCA is not meeting statutory duties under EU & UK conservation legislation | Legal challenge brought against Eastern IFCA for failing to meet obligations under MaCAA and the Habitats Regulations |   |      |  |        |
|  |           | Eastern IFCA not achieving vision as champion of sustainable marine environment   |   |   |   |      |  |        |

| Description & related HLOs (e.g. 1.1)           | Owner     | Implications   | Organisational impact (Reputation + Financial/2)   |                  | Likelihood  | Risk | Mitigation  | Action       |
|---|-----------|--|--|------------------|---|------|---|--------------|
| Shellfish and fish stocks collapse<br><br>(5.3) | CEO/MPASC | Collapse of fishing industry   | <b>3</b>   |                  | <b>4</b>  |      | <ul style="list-style-type: none"> <li>Annual stock assessments of bivalve stocks in Wash</li> <li>Ability to allocate sufficient resources to monitoring of landings and effective enforcement</li> <li>Consultation with industry on possible management measures</li> <li>MSC pre-assessment review of fisheries validated management measures</li> <li>Develop stock conservation measures for crab and lobster fisheries through engagement with Cefas and fishing industry</li> <li>SWEEP research into primary productivity levels within the Wash</li> <li>Regular engagement with the industry to discuss specific matters</li> <li>Review agreed Wash Cockle &amp; Mussel Policies</li> <li>Continued research into the cockle mortality events</li> <li>Participate in Project Inshore to inform stock management</li> </ul> | <b>Treat</b> |
|   |           | Fishing effort displaced   | <b>Reputation</b>  | <b>Financial</b> | Probable - Bivalve stocks have high natural variation     |      |   |              |
|   |           | Detrimental impact on wider ecology  | <b>3</b>   | <b>3</b>         | Crustacean stocks not currently subject to effort control |      |   |              |
|   |           | Loss in confidence of the Eastern IFCA ability to manage the sea fisheries resources within its district | Resources directed at protecting alternative stocks from displaced effort  |                  |   |      |   |              |
|   |           |  | Additional resources applied to research in to the cause of collapsed stocks and increased engagement and discussion with stakeholders |                  |   |      |   |              |

| Description & related HLOs (e.g. 1.1) | Owner    | Implications  | Organisational impact (Reputation + Financial/2)  |  | Likelihood   | Risk | Mitigation  | Action       |
|---------------------------------------|----------|---|---|--|--|------|---|--------------|
| Failure to secure data (5.2)          | CEO/FPSC | Non compliance with Data Protection Act   | <b>4</b>  |  | <b>2</b>   |      | <ul style="list-style-type: none"> <li>All computers are password protected. Individuals only have access to the server through their own computer.</li> <li>Secure wireless internet</li> <li>On site and remote back up of electronic files</li> <li>Access to electronic files is restricted based on an individuals role</li> <li>Up to date virus software installed on all computers</li> <li>Important documents secured in safes</li> <li>ICT equipment and policies provided by NCC – including encrypted laptops/secure governmental email system</li> <li>All Eastern IFCA personnel undergo DPA training conducted by NCC officers</li> <li>Electronic backup of all Eastern IFCA documents held by NCC offsite</li> <li>Updated, interactive data system in place</li> </ul> | <b>Treat</b> |
|                                       |          | Prosecution casefiles compromised   | <b>4</b>  | <b>4</b>   | Possible - Limited staff access to both electronic and paper files |      |   |              |
|                                       |          | Loss of data in the event of fire or theft  | Stakeholders no longer believe that confidential information they have supplied is secure | Eastern IFCA open to both civil and criminal action regarding inability to secure personal information | Office secure with CCTV and alarm                                  |      |   |              |
|                                       |          | Breakdown in dissemination of sensitive information between key delivery partners | Personnel issues arise over inability to secure information                               |  |  |      |   |              |

## Resources

The following resources will exist within the Eastern IFCA on the 1<sup>st</sup> April 2013. A comprehensive strategic review of the operational requirements of the Eastern IFCA was commissioned and reported upon during 2012-2013. The review informed the committee on the resources required in order for it to discharge its responsibilities resulting from the Marine and Coastal Access Act 2009 in an effective and efficient manner.

The full 2013 - 2014 budget was approved by the Authority on the 30<sup>th</sup> January 2013 and can be found in Appendix A.

| Vessel details                   | HP    | MCA Work Boat Code      | Length | Crew | Commissioned | Life remaining | Replacement cost |
|----------------------------------|-------|-------------------------|--------|------|--------------|----------------|------------------|
| <i>FPV Pisces III</i>            | 100   | Cat. 3 (20 nm offshore) | 5.5m   | 2    | 1998         | 2-3            | £40,000          |
| <i>RV Three Counties</i>         | 1,050 | Cat. 2 (60 nm offshore) | 18m    | 3    | 2002         | 3-8            | c£1,400,000      |
| <i>RV Runner</i>                 | 50    | N/A                     | 3.5m   | 2    | 2004         | 1              | £13,000          |
| <i>TBA (purchase pending)</i>    | -     | Cat. 2 (60 nm offshore) | 11m    | 2    | 2013         |                | £200,000         |
| <i>TBA (procurement pending)</i> | -     | Cat. 2 (60 nm offshore) |        | 2    | -            | TBC            |                  |

| Vehicle details   | Allocated to             | Entered service | Replacement date | Replacement cost |
|-------------------|--------------------------|-----------------|------------------|------------------|
| Peugeot 207 sw    | Area 1 IFCO              | 2007            | 2013             | £15,000          |
| Peugeot 207 sw    | Area 2 IFCO              | 2007            | 2015             | £12,000          |
| Peugeot 207 sw    | Area 3 IFCO              | 2010            | 2017             | £12,000          |
| Peugeot 207 sw    | Area 4 IFCO              | 2010            | 2013             | £15,000          |
| Peugeot 308 sw    | <i>RV Three Counties</i> | 2009            | 2016             | £13,500          |
| Nissan Navara 4x4 | Office                   | 2005            | 2014             | £15,000          |
| Ford Tourneo bus  | <i>FPV Protector III</i> | 2008            | 2016             | £14,500          |
| Renault Clio      | Office                   | 2007            | 2015             | £9,000           |

In addition to the resources identified above the Authority operates specific pieces of survey equipment outlined in the Equipment section of the Environment and Research Plan.

During 2011 and 2012 the Authority commissioned surveys of the River Stour using the Kent and Essex Inshore Fisheries and Conservation Authority's Research Vessel *Tamesis*. This agreement has now been terminated as changes to the timing of annual refit now enables this to be conducted by *RV Three Counties*. However, *RV Tamesis* is still available subject to prior agreement. The Authority maintains an office in King's Lynn in Norfolk and vessel moorings at Sutton Bridge in Lincolnshire.

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## Ways of working

The Authority enjoys excellent relationships with a wide variety of bodies. To build these relationships has taken the work and commitment of the Authority and its staff. These relationships are recognised in the Annual plan. By continuing to work with a wide variety of partner organisations the Authority is able to maintain its wide work programme across the District.

Key organisations, the relationships and instruments with the Authority are highlighted below.

### **Association of Inshore Fisheries and Conservation Authorities**

In addition to being a member of the Association of Inshore Fisheries and Conservation Authorities (of which Eastern IFCA is one of ten within England), the Chief Executive Officer for the Association is also a member of the Eastern IFCA.

### **Memoranda of Understanding and Memoranda of Agreement**

National Memoranda with the Marine Management Organisation (MMO), Environment Agency, and the Centre for Fisheries and Aquatic Science have been delivered. It is envisaged that a national memorandum will be agreed by Natural England during 2013-2014. As well as the high level memoranda, representation of the Marine Management Organisation, Environment Agency and Natural England on the Authority means that co-operation and co-ordination between agencies is hard wired into 'the system'. Eastern IFCA has developed and agreed a local annex to the MMO MoU to provide for cooperation and collaborative working between the bodies.

The Authority has also either entered into or is exploring MOUs with the following organisations:

- Association of Chief Police Officers Criminal Records Bureau
  - access to Police National Computer data
- North Eastern Inshore Fisheries and Conservation Authority
  - membership of the Humber Estuary European Marine Site
- Kent and Essex Inshore Fisheries and Conservation Authority
  - membership and lead IFCA within the Stour and Orwell European Marine Site
- Drivers Vehicle Licensing Agency
  - access to registered owner information
- Maritime and Coastguard Agency, Registry of Shipping and Seamen
  - access to registered owner information

### **Technical Advisory Group (TAG)**

The Authority will continue to support and work through the IFCA Technical Advisory Group (the Authority's Senior Research Officer is the secretary) wherever possible to help it achieve its stated aims:

- to improve the quality and extent of fisheries management information through better coordination and dissemination of fisheries related

- 
- scientific research,
  - define and apply best practice relating to the scientific & technical functions and responsibilities of IFCA.

### **Stakeholder engagement, communication and consultation**

During 2013-2014, Eastern IFCA will actively engage with its various stakeholder groups. In addition, and in order to promote best practice and to share resources, the Authority will specifically work collaboratively with Sussex IFCA and Kent and Essex IFCA.

### **Involving our stakeholders**

We will seek to understand what our stakeholders need, and develop our services around our stakeholders' expectations.

We will:

- regularly ask stakeholders for their opinions about our services,
- ensure that our stakeholders help shape the services we deliver,
- be honest about what we can do and what we can't.

### **Our people**

We recognise that the greatest asset to the Authority is the highly competent staff who work with our stakeholders in delivering the Authority's objectives. We will:

- listen to our staff;
- enable the staff to deliver outputs through provision of appropriate manpower, equipment, training and support;
- ensure our staff adhere to the normal standards and practices of public service specifically with regard to dealing with our stakeholders;
- ensure staff are provided the training and means to conduct our business safely.

### **Reaching us**

We will provide different ways to help people contact us and access the services they need. We will:

- make information about the Authority and its services easily available,
- publish opening hours and describe how to access services,
- maintain an up to date, accurate website,
- provide a welcoming, friendly environment, easily accessible to all.

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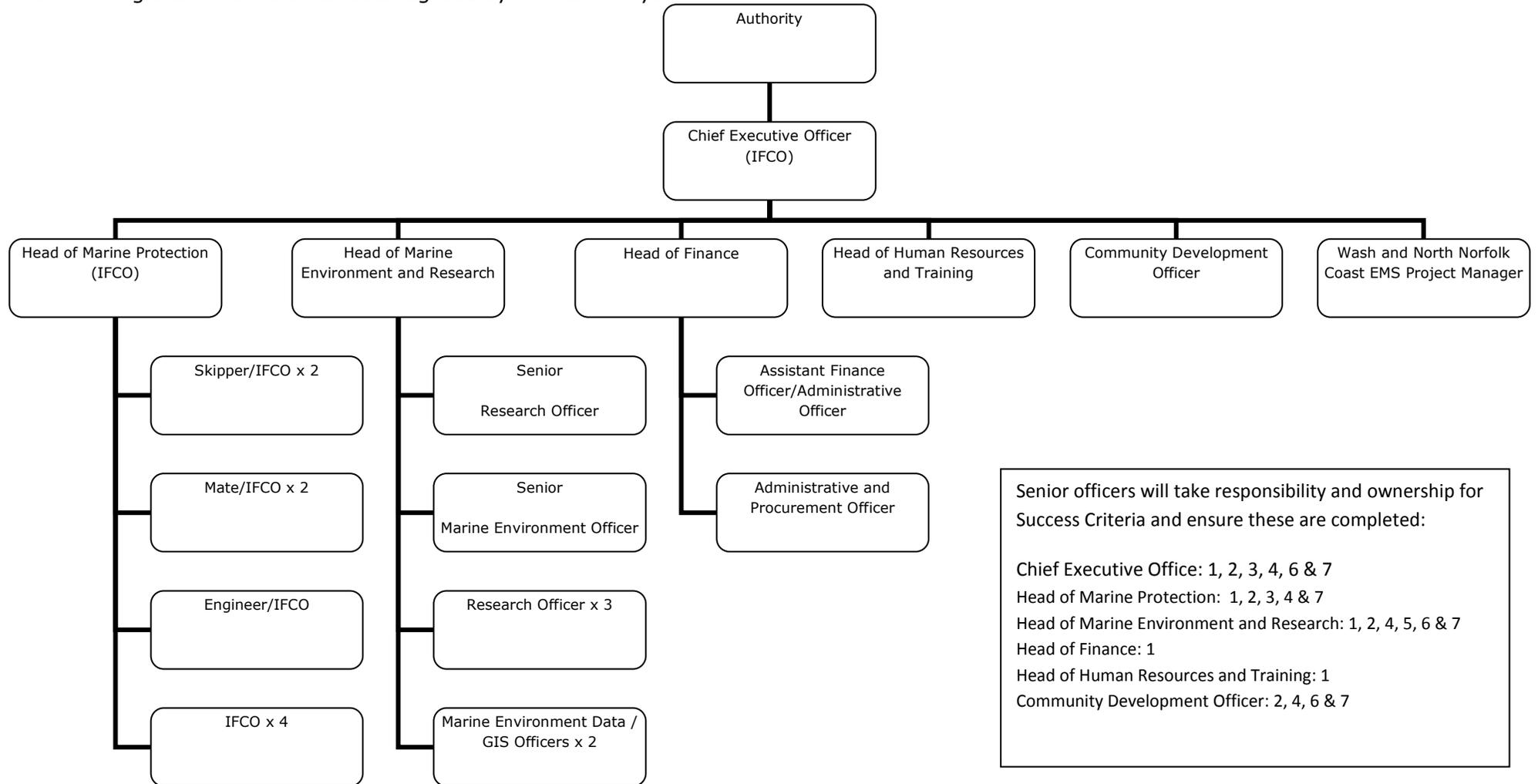
## **How we communicate**

We want to make every contact a positive experience for our stakeholders. We will:

- always listen carefully to what stakeholders and colleagues say,
- be polite and honest,
- give a contact name and details,
- let people know what will happen next,
- point people in the right direction if we can't help,
- provide a suitable environment and ensure confidentiality,
- write letters, emails and publications that are easy to read and understand,
- respond to letters and emails promptly and when that is not possible, we will send an acknowledgement with details of who is dealing with the matter and the time frames involved including letting people know if there will be a delay in responding,
- ensure answer-phone messages are clear and tell people when to expect a reply and offer an alternative contact.

## Staffing

The following staff structure has been agreed by the Authority.



Senior officers will take responsibility and ownership for Success Criteria and ensure these are completed:

Chief Executive Office: 1, 2, 3, 4, 6 & 7

Head of Marine Protection: 1, 2, 3, 4 & 7

Head of Marine Environment and Research: 1, 2, 4, 5, 6 & 7

Head of Finance: 1

Head of Human Resources and Training: 1

Community Development Officer: 2, 4, 6 & 7

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## **Performance standards**

Eastern IFCA will explore the development of performance standards during 2013-2014 that align with those of other IFCAs to enable the Authority to benchmark itself against them.

The following performance standards provide a commitment by officers and the Authority to meet the needs of stakeholders in a timely manner. It is intended that performance against these standards will be detailed within the Authority's 2013-2014 Annual Report.

We want to make sure that our commitment to working closely with our stakeholders is making a difference, and we will assess our success by measuring what our stakeholder's value. To this end we will seek regular feedback on stakeholder satisfaction.

### **Wash Fishery Order 1992 entitlement expiry**

Reminders are sent by recorded delivery at least three months prior to the entitlement expiring.

### **Wash Fishery Order 1992 licence issue/renewal**

An individual applying for a licence or renewing a licence will be issued within five working days (provided all required documentation is present and correct).

### **Authorisations to fish seed mussel**

Derogations to Authority byelaws may be agreed in order to facilitate a seed mussel fishery in the District. Once a fishery has been agreed an authorisation to fish is required this will be issued within seven working days (provided all required documentation is present and correct). Conditions on the Authorisation may be specified.

### **Scientific derogations**

Applications to the CEO to provide derogation to the Authority's byelaws will be considered within seven working days. The application will either be approved, rejected or additional information may be requested. Conditions on the derogation may be specified.

### **Information requests**

Any request for information, including formal Freedom of Information will be recorded and will be replied to within twenty working days. If the information cannot be provided within that time or clarification is sought on the information requested a date that the information will be provided will be specified along with a named contact person. This does not cover consultations as they will be dealt with separately.

### **Legislative guidance**

Upon request, minimum size guidance books, Wash Fishery Order 1992 and byelaw booklets will be sent out within seven working days. If a detailed written response is required, this will be provided within twenty working days of the initial request. If the information cannot be provided within this time a time frame we will identify a time frame, the reason and appropriate course of action including a named contact person.

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### **Office hours**

The Authority's office will be open (excluding bank holidays):

Monday – Thursday 09:00-17:00hrs

Friday 09:00-16:30hrs

A commitment is made to ensure that these opening hours are met at least 95% of the time (the remainder 5% is to account for staff training/full staff meetings).

The Authority Office will be closed between Christmas and New Year.

### **Authority and Sub-Committee meetings (excluding extraordinary meetings)**

Agendas will be sent out ten working days before the meeting to Members. Papers will be sent out five working days prior to the meeting to Members and will be posted on the Authority's website 24hrs prior to a meeting. Minutes of the meeting will be posted on the Authority's website within five working days following confirmation.

### **Enforcement Activities**

Enforcement of the Authority and the standards that the Authority and its Officers strive towards are detailed within the Authority's Enforcement Strategy and associated risk based enforcement plan.

### **Mail**

All mail received or sent by the Authority will be logged, date stamped and an appropriate file reference recorded. 'Signed for' mail recording will be used in circumstances where there is a need for a confirmation of delivery, or a history of mail loss.

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## Compliments, Comments and Complaints

We would like to hear from you when we are not meeting your expectations as well as when we meet or exceed them.

### Complaints

The Authority has a comprehensive complaints procedure. On receipt of a formal complaint, a written/email response is provided within five working days of receipt of the complaint. The reply will set out the action that the Authority intends to take with timeframes and a named point of contact for the complainant.

### What you can expect from us

At each stage of the process we will acknowledge receipt of your concerns within five working days, giving you a named contact point and telephone number for your complaint. We will then strive to provide you with a full response to your complaint within twenty working days.

### Stage 1

In most cases we would do our utmost to resolve your complaint immediately. So please make our staff aware of your complaint as soon as possible and we will do all we can to quickly resolve it to your satisfaction.

### Stage 2

If we have not resolved your complaint to your satisfaction at stage one, you can contact the Chief Executive Officer by telephone, post or email. Please explain the full details of your complaint, and the Chief Executive Officer will carry out a thorough investigation into your concerns. Should it be necessary the Chief Executive Officer or a Senior Officer will arrange to meet with you to resolve the complaint.

### Stage 3

If we have not resolved your complaint at stage two you can contact:

The Chairman of the Eastern Inshore Fisheries and Conservation Authority  
Eastern Inshore Fisheries and Conservation Authority  
6 North Lynn Business Village  
Bergen Way  
King's Lynn  
Norfolk  
PE30 2JG

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## References

This plan has been developed taking into account and with reference to the following documents:

Anon. (2009) Marine and Coastal Access Act 2009. HMSO. London.

Anon. (2010) Eastern Inshore Fisheries and Conservation Order 2010. HMSO. London.

Defra. (2010a) Inshore Fisheries and Conservation Authorities: Vision, Success Criteria and High Level Objectives. Defra. London.

Defra. (2010b) Guidance to Inshore Fisheries and Conservation Authorities on Annual Planning and Reporting Requirements under s.177 and s.178 of the Marine and Coastal Access Act. Defra. London.

Defra. (2010c) Guidance to Inshore Fisheries and Conservation Authorities on the establishment of a common enforcement framework. Defra. London.

Defra. (2010d) Draft Guidance to Inshore Fisheries and Conservation Authorities on evidence-based marine management. Defra. London.

Defra. (2010e) Draft guidance to Inshore Fisheries and Conservation Authorities on monitoring and evaluation, and measuring performance. Defra. London.

Defra. (2010f) Draft guidance to Inshore Fisheries and Conservation Authorities on their contribution to the achievement of sustainable development

Poseidon Aquatic Resource Management Ltd (2006) *Regional Fishing Plan. Final Report 2006*. Lymington: East of England Development Agency.

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## Glossary

|        |   |
|--------|---|
| ACPO   | Association of Chief Police Officers Criminal Records Office  |
| AIFCA  | Association of Inshore Fisheries and Conservation Authorities |
| CEFAS  | Centre for Environment, Fisheries and Aquaculture Science     |
| CEO    | Chief Executive Officer                                       |
| DCEO   | Deputy Chief Executive Officer                                |
| Defra  | Department of Environment, Food and Rural Affairs             |
| EA     | Environment Agency  |
| EIFCO  | Eastern Inshore Fisheries and Conservation Officer            |
| EMS    | European Marine Site  |
| ERLG   | Eastern Regional Liaison Group                                |
| ESFJC  | Eastern Sea Fisheries Joint Committee                         |
| FPV    | Fishery Patrol Vessel   |
| HLO    | High Level Objective  |
| HR     | Human Resources   |
| ICT    | Information Communication and Technology                      |
| IFCA   | Inshore Fisheries and Conservation Authority                  |
| IIP    | Investors in People   |
| KEIFCA | Kent and Essex Inshore Fisheries and Conservation Authority   |
| LCC    | Lincolnshire County Council                                   |
| MaCAA  | Marine and Coastal Access Act                                 |
| MCZ    | Marine Conservation Zone                                      |
| MMO    | Marine Management Organisation                                |
| MoU    | Memorandum of Understanding                                   |
| MPA    | Marine Protected Area   |
| MPASC  | Marine Protected Area Sub-Committee                           |
| NE     | Natural England   |
| NEIFCA | North Eastern Inshore Fisheries and Conservation Authority    |
| NCC    | Norfolk County Council  |
| PCSC   | Planning and Communications Sub-Committee                     |
| PI     | Performance Indicator   |

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|         |   |
|---------|---|
| PR      | Public Relations                                    |
| RCSC    | Regulatory and Compliance Sub-Committee             |
| RSA     | Recreational Sea Angling                            |
| RV      | Research Vessel                                     |
| SAC     | Special Area of Conservation                        |
| SC      | Success Criteria                                    |
| SCC     | Suffolk County Council                              |
| SIFCA   | Sussex Inshore Fisheries and Conservation Authority |
| SLA     | Service Level Agreement                             |
| SoS     | Secretary of State                                  |
| SPA     | Special Protection Area                             |
| SSSI    | Site of Special Scientific Interest                 |
| TAG     | Technical Advisory Group                            |
| VFM     | Value For Money                                     |
| WFO     | Wash Fishery Order                                  |
| WNNCEMS | Wash and North Norfolk Coast European Marine Site   |

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## Appendix A

### 2013-2014 Budget

The Finance and Personnel sub-Committee, having considered the draft estimates for expenditure for 2013/2014, resolved to recommend to the Authority to levy upon the constituent County Councils, the sum of £1,391,070.

The Levy which includes 'New Burden' funding would be in the proportions set out in the Statutory Instrument establishing the constitution of the Authority i.e. in the following proportions:-

|  | Norfolk        | Suffolk        | Lincolnshire   |
|--|----------------|----------------|----------------|
|  | County Council | County Council | County Council |
|  | 38.5%          | 28.9%          | 32.6%          |
| Contribution from County Council Funds | 383,816        | 288,111        | 324,998        |
| 'New Burden' Funding Allocation        | <u>151,999</u> | <u>114,420</u> | <u>127,726</u> |
| TOTAL LEVY                             | 535,815        | 402,531        | 452,724        |

Proposed expenditure under the main budget heads is shown on the sheet attached to this report.

The Forecast of Estimates is attached to this report.

**EASTERN INSHORE FISHERIES AND CONSERVATION AUTHORITY**

Table 1

**Provisional Estimates of Expenditure 2013/2014**

|  | 2012/2013<br>Budget<br>Inc. Infl<br>£ | 2012/2013<br>Act/Proj<br>£ | 2013/2014<br>Provisional<br>Estimate<br>£ |
|--|---------------------------------------|----------------------------|---|
| Salaries & Wages   | 875,470                               | 861,820                    | 921,695                                   |
| General Expenditure                                      | 208,790                               | 200,294                    | 205,765                                   |
| Research and Environment                                 | 15,150                                | 15,000                     | 15,000                                    |
| Enforcement  | 30,600                                | 0                          | 25,000                                    |
| Development and Communication                            | 51,000                                | 17,000                     | 20,000                                    |
| <b><u>Vessels</u></b>                                    |                                       |                            |   |
| Moorings & Harbour Dues                                  | 16,955                                | 16,425                     | 17,490                                    |
| Three Counties   | 64,361                                | 84,221                     | 69,000                                    |
| ESF Protector III  | 120,562                               | 44,500                     | 75,000                                    |
| Pisces III   | 1,887                                 | 4800                       | 7,700                                     |
| Vessel Hire  | 30,600                                | 15,000                     | 10,000                                    |
| Vehicles   | 21,830                                | 20,350                     | 22,952                                    |
| <b>TOTAL EXPENDITURE</b>                                 | <b>£1,437,205</b>                     | <b>£1,280,040</b>          | <b>£1,389,602</b>                         |
| INFLATION CONTINGENCY                                    | 0                                     | 0                          | 17,468                                    |
| INCOME   | -6,000                                | -22,070                    | -16,000                                   |
| <b>EXPENDITURE LESS INCOME</b>                           | <b>£1,431,205</b>                     | <b>£1,257,970</b>          | <b>£1,391,070</b>                         |
| <i>LESS New Burden Funding</i>                           | <i>-£394,145</i>                      |                            | <b>-£394,145</b>                          |
| <b>LEVY to be funded by County Councils</b>              | <b>£1,037,060</b>                     |                            | <b>£996,925</b>                           |
| Percentage reduction from Base Levy( <b>£1,329,236</b> ) | <b>-22.0%</b>                         |                            | <b>-25.0%</b>                             |

## EASTERN INSHORE FISHERIES AND CONSERVATION AUTHORITY

Table 2

### Provisional Estimates of Expenditure 2013/2014

Details of Expenditure - Salaries & Wages and General Expenditure

|                                     | 2012/2013<br>Budget Inc. Infl | 2012/2013<br>Projection | 2013/2014<br>Provisional<br>Estimate |
|-------------------------------------|-------------------------------|-------------------------|--------------------------------------|
| <b><u>SALARIES</u></b>              |                               |                         |                                      |
| Staff Remuneration                  | 692,098                       | 679,337                 | 726,862                              |
| Superannuation                      | 131,582                       | 128,105                 | 138,394                              |
| National Insurance                  | 51,790                        | 54,378                  | 56,439                               |
| <b>TOTAL</b>                        | <b>875,470</b>                | <b>861,820</b>          | <b>921,695</b>                       |
| <b><u>GENERAL EXPENDITURE</u></b>   |                               |                         |                                      |
| <i><u>Accommodation</u></i>         |                               |                         |                                      |
| Rent                                | 26,500                        | 25,625                  | 27,000                               |
| Business Rates                      | 11,500                        | 11,794                  | 12,500                               |
| Water Rates                         | 355                           | 387                     | 400                                  |
| Service Charges                     | 2,500                         | 2,880                   | 2,880                                |
| Insurance - Buildings               | 400                           | 342                     | 350                                  |
| Insurance Office & General          | 16,500                        | 15,944                  | 17,500                               |
| Electricity                         | 3,570                         | 3,000                   | 3,285                                |
| Cleaning                            | 2,245                         | 2,850                   | 2,850                                |
| Maintenance & Redecoration          | 645                           | 2,500                   | 1000                                 |
| Office Improvements                 | 0                             | 10,000                  | 0                                    |
| <b>TOTAL</b>                        | <b>64,215</b>                 | <b>75,322</b>           | <b>67,765</b>                        |
| <i><u>General Establishment</u></i> |                               |                         |                                      |
| Advertisements & Subscriptions      | 15,300                        | 15,000                  | 16,500                               |
| Legal & Professional Fees           | 17,850                        | 17,500                  | 15,000                               |
| Telephones (Office & Mobile)        | 6,630                         | 4,500                   | 6,000                                |
| Postage & Stationery                | 5,100                         | 6,000                   | 6,000                                |
| Equipment Hire & Renewals           | 4,590                         | 5,000                   | 5,000                                |
| IT Support                          | 35,700                        | 19,750                  | 19,750                               |

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|  |                |                |                |
|--|----------------|----------------|----------------|
| Uniforms & Protective Clothing               | 4,080          | 4,000          | 5,000          |
| Medical Fees                                 | 650            | 752            | 750            |
| Sundry Expenditure                           | 2,555          | 8,000          | 5,000          |
| TOTAL  | 92,455         | 80,502         | 79,000         |
| <i><u>Fishery Officers' Expenses</u></i>     |                |                |                |
| General Travel - Fares, Taxis etc            | 1,550          | 2,500          | 2,500          |
| Subsistence Payments                         | 6,120          | 13,500         | 10,000         |
| Overnight Subsistence                        | 2,000          | 850            | 1,000          |
| Hotel - Accommodation & Meals                | 1,550          | 2,250          | 2,500          |
| TOTAL  | 11,220         | 19,100         | 16,000         |
| Members' Travel                              | 5,200          | 6,000          | 6,000          |
| Training                                     | 35,700         | 20,000         | 37,000         |
| <b>TOTAL GENERAL EXPENDITURE</b>             | <b>208,790</b> | <b>200,924</b> | <b>205,765</b> |
| <b><u>Departmental Operational Costs</u></b> |                |                |                |
| Research and Environment                     | 15,150         | 15,000         | 15,000         |
| Enforcement                                  | 30,600         | 0              | 25,000         |
| Communication and Development                | 51,000         | 17,000         | 20,000         |

**EASTERN INSHORE FISHERIES AND CONSERVATION AUTHORITY**

Table 3

**Provisional Estimates of Expenditure 2013/2014**

Details of Expenditure - Vessels & Vehicles

|   | <b>2012/2013</b><br>Budget Inc.<br>Infl | <b>2012/2013</b><br>Projection | <b>2013/2014</b><br>Provisional<br>Estimate |
|---|---|--------------------------------|---|
| <b><u>MOORINGS &amp; HARBOUR DUES</u></b> |   |                                |   |
| Rent - Sutton Bridge Moorings             | 15,425                                  | 14,537                         | 15,000                                      |
| Maintenance                               | 765                                     | 638                            | 765   |
| Berthing & Harbour Dues                   | 765                                     | 1,250                          | 1,725                                       |
| <b>TOTAL</b>                              | <b>16,955</b>                           | <b>16,425</b>                  | <b>17,490</b>                               |
| <b><u>THREE COUNTIES</u></b>              |   |                                |   |
| <i>Operating Costs</i>                    |   |                                |   |
| Maintenance & Repairs                     | 18,360                                  | 20,000                         | 20,000                                      |
| Refit                                     | 20,400                                  | 40,000                         | 20,000                                      |
| Insurance & Certification                 | 10,301                                  | 9,221                          | 10,000                                      |
| Fuel                                      | 15,300                                  | 15,000                         | 19,000                                      |
| <b>TOTAL</b>                              | <b>64,361</b>                           | <b>84,221</b>                  | <b>69,000</b>                               |
| <b><u>ESF PROTECTOR III/RIBS</u></b>      |   |                                |   |
| Maintenance & Repairs                     | 20,500                                  | 19,000                         | 7,500                                       |
| Refit (ESF Protector III)                 | 43,350                                  | 0                              | 0   |
| Insurance & Certification                 | 23,052                                  | 10,500                         | 10,000                                      |
| Fuel                                      | 33,660                                  | 15,000                         | 57,500                                      |
| <b>TOTAL</b>                              | <b>120,562</b>                          | <b>44,500</b>                  | <b>75,000</b>                               |
| <b><u>PISCES III/PACIFIC 22 RIB</u></b>   |   |                                |   |
| Staff Accommodation                       | 255                                     | 0                              | 0   |
| Maintenance & Repairs                     | 255                                     | 2,200                          | 2500  |
| Refit                                     | 255                                     | 0                              | 0   |
| Insurance & Certification                 | 357                                     | 400                            | 500   |
| Fuel                                      | 765                                     | 2,200                          | 4,700                                       |
| <b>TOTAL</b>                              | <b>1,887</b>                            | <b>4,800</b>                   | <b>7,700</b>                                |

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|                        |               |               |               |
|------------------------|---------------|---------------|---------------|
| <b>VESSEL HIRE</b>     | <b>30,600</b> | <b>15,000</b> | <b>10,000</b> |
| <b><u>VEHICLES</u></b> |               |               |               |
| Insurance              | 5,335         | 5,115         | 5,550         |
| Fuel & Sundries        | 12,240        | 11,000        | 13,000        |
| Servicing              | 3,083         | 3,083         | 3,250         |
| Vehicle Tracking       | 1,152         | 1,152         | 1,152         |
| <b>TOTAL</b>           | <b>21,830</b> | <b>20,350</b> | <b>22,952</b> |

## EASTERN INSHORE FISHERIES AND CONSERVATION AUTHORITY

### Provisional Forecast of Estimates 2014/2017

| 2  | 2013/2014<br>Estimate<br>Incl. Infl<br>£ | 2014/2015<br>Forecast<br>£ | 2015/2016<br>Forecast<br>£ | 2016/2017<br>Forecast<br>£ |
|--|--|----------------------------|----------------------------|----------------------------|
| <b><u>SALARIES &amp; WAGES</u></b>           |  |                            |                            |                            |
| Staff Remuneration                           | 734,131                                  | 744,168                    | 752,533                    | 755,613                    |
| Superannuation                               | 139,778                                  | 148,833                    | 154,269                    | 158,680                    |
| National Insurance                           | 57,003                                   | 58,224                     | 59,253                     | 59,597                     |
| <b>TOTAL</b>                                 | <b>930,912</b>                           | <b>951,225</b>             | <b>966,055</b>             | <b>973,890</b>             |
| <b><u>GENERAL EXPENDITURE</u></b>            |  |                            |                            |                            |
| Accommodation                                | 68,305                                   | 72,610                     | 73,360                     | 75,640                     |
| General Establishment                        | 80,588                                   | 79,050                     | 79,050                     | 79,050                     |
| Officers' Travel & Subsistence               | 16,320                                   | 16,320                     | 16,320                     | 16,320                     |
| Members' Travel                              | 6,120                                    | 6,120                      | 6,120                      | 6,120                      |
| Training                                     | <b>37,740</b>                            | <b>37,740</b>              | <b>37,740</b>              | <b>37,740</b>              |
| <b>TOTAL</b>                                 | <b>209,073</b>                           | <b>211,840</b>             | <b>212,590</b>             | <b>214,870</b>             |
| <b><u>Departmental Operational Costs</u></b> |  |                            |                            |                            |
| Research and Environment                     | 15,300                                   | 15,300                     | 15,300                     | 15,300                     |
| Enforcement                                  | 25,500                                   | 0                          | 0                          | 0                          |
| Development and Communication                | 20,400                                   | 12,240                     | 12,240                     | 12,240                     |
| <b><u>VESSELS</u></b>                        |  |                            |                            |                            |
| Moorings & Harbour Dues                      | 17,540                                   | 17,790                     | 17,790                     | 18,540                     |
| <b>Three Counties</b>                        |  |                            |                            |                            |
| Operating Costs                              | 70,380                                   | 70,380                     | 70,380                     | 70,380                     |
| <b>ESF Protector III/RIBS</b>                |  |                            |                            |                            |
| Operating Costs                              | 76,500                                   | 79,000                     | 79,000                     | 79,000                     |
| <b>Pisces III/Pacific 22 RIB</b>             |  |                            |                            |                            |
| Operating Costs                              | 7,854                                    | 7,854                      | 7,854                      | 7,854                      |
| <b>Vessel Hire</b>                           | 10,200                                   | 0                          | 0                          | 0                          |
| <b>TOTAL VESSEL COSTS</b>                    | <b>182,474</b>                           | <b>175,024</b>             | <b>175,024</b>             | <b>175,774</b>             |

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**VEHICLES**

|   |                  |                  |                  |                  |
|---|------------------|------------------|------------------|------------------|
| <b>Operating Costs</b>                      | <b>23,411</b>    | <b>25,145</b>    | <b>25,145</b>    | <b>25,145</b>    |
| <b>TOTAL EXPENDITURE</b>                    | <b>1,407,070</b> | <b>1,390,774</b> | <b>1,406,354</b> | <b>1,417,219</b> |
| Inflation Contingency                       |                  | 8,000            | 16,1600          | 24,485           |
| LESS IINCOME                                | -16,000          | -7,500           | -7,500           | -7,500           |
| <b>EXPENDITURE LESS INCOME</b>              | <b>1,391,070</b> | <b>1,391,274</b> | <b>1,411,027</b> | <b>1,434,204</b> |
| <i>LESS New Burden Funding</i>              | -394,145         | -394,145         | -394,145         | -394,145         |
| <b>LEVY to be funded by County Councils</b> | <b>996,925</b>   | <b>997,129</b>   | <b>1,020,869</b> | <b>1,040,059</b> |
| <b>Percentage Reduction from Base Levy</b>  | <b>-25.0%</b>    | <b>-25.0%</b>    | <b>-23.2%</b>    | <b>-21.8%</b>    |
| Memo Base Levy = £1,329,236                 |                  |                  |                  |                  |